

# From Static Recoverability to Maintenance Power: A Typed Pipeline with $\omega = 0$ Obstructions

Lluis Eriksson  
Independent Researcher  
lluiseriksson@gmail.com

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## Abstract

We study when geometric separation in gapped quantum systems yields a genuine reduction in thermodynamic resources required to maintain coherence against uncontrolled open-system dynamics. Our analysis separates three layers. **First**, in a regularized Gaussian split regime motivated by algebraic QFT, we state an explicit static reconstruction bound: collar suppression of vacuum cross-correlations enables approximate state recovery via the Petz map with fidelity error controlled by a cross-block recovery norm. **Second**, we show why static recoverability does not automatically imply suppression of dynamical decay rates: fixed-point structure and Bohr-zero ( $\omega = 0$ ) channels can generate obstructions invisible to static clustering alone. We formalize this obstruction using an exact  $\omega = 0$  Dirichlet identity and implement finite-size commutator-witness diagnostics in the transverse-field Ising chain (one-site and two-site Pauli test families), finding no evidence of a size-independent  $\omega = 0$  floor in that benchmark regime for tested sizes (with an extended one-site check at  $N = 14$  consistent with the observed trend). **Third**, we give an autocontained finite-dimensional core linking coherence loss to incremental maintenance power under an explicit battery-assisted thermal-operations model (with paired strategies), and we state a typed rate-inheritance hypothesis identifying precisely what additional dynamical input is required to propagate collar suppression into power suppression on an operational target family. We conclude with a Type III blueprint for extending the pipeline to AQFT.

## 1 Introduction

Geometric separation in gapped many-body systems suppresses static correlations, motivating the expectation that thick buffers (collars) reduce cross-region influence. A distinct operational question is dynamical: even if a global state is recoverable from local data, what resources are required to keep a target state stable under uncontrolled open-system dynamics? In particular, does increasing a collar width  $r$  reduce the minimal power required to maintain coherence against noise and thermalization?

This paper isolates a frequent logical pitfall: static locality controls an *amplitude* (e.g. reconstruction error), whereas maintenance cost is governed by *rates* (how fast coherence is lost and must be replenished). Conflating these types leads to invalid inferences of the form “small static residue implies small maintenance cost.” Our contribution is a typed pipeline separating static recoverability from dynamic rate control, identifying the unique missing hinge (rate inheritance on a family), and clarifying the role of  $\omega = 0$ /fixed-point obstructions.

## 2 Setup: conditional expectations, coherence functionals, and operational families

Let  $\mathcal{H}$  be finite-dimensional and  $\mathcal{A} = \mathcal{B}(\mathcal{H})$ . Let  $H_S$  be the system Hamiltonian with spectral projectors  $\{\Pi_n\}$ . Fix  $\beta := 1/(k_B T)$  and the thermal reference state

$$\sigma := \frac{e^{-\beta H_S}}{\text{Tr}(e^{-\beta H_S})}. \quad (1)$$

Let  $\mathcal{T}_t = e^{t\mathcal{L}_*}$  be the uncontrolled Markov semigroup (Schrödinger picture) with GKLS generator  $\mathcal{L}_*$  satisfying  $\mathcal{L}_*[\sigma] = 0$ .

### 2.1 A single coherence functional with two instances

**Definition 2.1** (Coherence relative to a conditional expectation). Let  $E : \mathcal{A} \rightarrow \mathcal{B} \subset \mathcal{A}$  be a  $\sigma$ -preserving conditional expectation and  $E_*$  its predual acting on states. Define

$$C_E(\rho) := S(\rho \| E_*[\rho]). \quad (2)$$

We use two canonical instances.

**Instance 1 (law-grade): energy pinching.** Define

$$\Delta[A] := \sum_n \Pi_n A \Pi_n. \quad (3)$$

Then  $\Delta$  is  $\sigma$ -preserving and  $C_\Delta(\rho)$  quantifies coherence in the energy basis.

**Instance 2 (static, geometric): split expectation (regularized posture).** In a split configuration  $O_1 \Subset O_2$  with collar width  $r$ , let  $E_{\text{split}}$  denote a vacuum-preserving conditional expectation onto a Type I split factor. In the Gaussian realization (Section 3), this yields explicit Petz recovery at covariance level.

### 2.2 Loss rate and typed envelopes (sign convention)

**Definition 2.2** (Loss rate and local rate). For  $\rho_t := \mathcal{T}_t(\rho)$  define

$$\dot{C}_{E,\text{loss}}(\rho) := -\left. \frac{d}{dt} \right|_{t=0} C_E(\rho_t). \quad (4)$$

For  $C_E(\rho) > 0$  define

$$r_E(\rho) := \frac{\dot{C}_{E,\text{loss}}(\rho)}{C_E(\rho)}. \quad (5)$$

**Definition 2.3** (Typed envelopes on a family). Given a family  $\mathcal{F}_r$ , define

$$r_E^\downarrow(r) := \inf_{\rho \in \mathcal{F}_r: C_E(\rho) > 0} r_E(\rho), \quad r_E^\uparrow(r) := \sup_{\rho \in \mathcal{F}_r: C_E(\rho) > 0} r_E(\rho). \quad (6)$$

The lower envelope  $r_E^\downarrow$  governs necessary lower bounds (e.g. power floors), while  $r_E^\uparrow$  governs sufficient upper envelopes.

### 2.3 Collar geometry and local preparation

We consider a tripartition  $A$ – $B$ – $C$  with collar width  $r = \text{dist}(A, C)$  (buffer region  $B$  separating  $A$  from  $C$ ). Operationally local preparation is specified via admissible CPTP maps supported in  $A$ .

**Choice of  $\text{Prep}_A(r)$ .** Unless stated otherwise,  $\text{Prep}_A(r)$  denotes the set of CPTP maps on  $\mathcal{A}$  admitting a Stinespring dilation localized in  $A$ , i.e. maps of the form

$$\Lambda_A(\cdot) = \text{Tr}_{\text{anc}}\left(U_{A\text{anc}}((\cdot) \otimes \tau_{\text{anc}})U_{A\text{anc}}^\dagger\right), \quad (7)$$

with an ancilla state  $\tau_{\text{anc}}$  and a unitary  $U_{A\text{anc}}$  supported in  $A$  (equivalently  $U_{A\text{anc}} = U_{A\text{anc}} \otimes \mathbb{1}_{BC}$  in the  $ABC$  decomposition). In the quasi-free split instantiation (Section 3), one may restrict to localized unitaries or bounded-depth circuits without changing the logical role of  $\mathcal{F}_r$ .

### 2.4 Operational target family $\mathcal{F}_r$

**Definition 2.4** (Operational target family  $\mathcal{F}_r$ ). Fix collar width  $r$  and budgets  $(E_{\text{max}}, \epsilon_\Delta, \delta_{\text{fix}})$ . Define  $\mathcal{F}_r$  as the set of states

$$\rho = \Lambda_A(\sigma), \quad \Lambda_A \in \text{Prep}_A(r), \quad (8)$$

such that:

- (F1)  $\text{Tr}(H_S \rho) \leq E_{\text{max}}$  (energy budget);
- (F2)  $C_\Delta(\rho) \leq \epsilon_\Delta$  (coherence budget; optional in some applications);
- (F3)  $\|\rho - E_{\text{fix},*}[\rho]\|_1 \geq \delta_{\text{fix}}$  (fixed-point exclusion at resolution  $\delta_{\text{fix}}$ ).

**Fixed-point projection (Schrödinger picture).** In finite dimension we define the fixed-point projection on states by the ergodic mean

$$E_{\text{fix},*}[\rho] := \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T \mathcal{T}_t(\rho) dt, \quad (9)$$

which exists and satisfies  $\mathcal{T}_t(E_{\text{fix},*}[\rho]) = E_{\text{fix},*}[\rho]$  for all  $t \geq 0$ . When the QMS satisfies quantum detailed balance, this corresponds to the  $\sigma$ -preserving conditional expectation onto the fixed-point algebra in the Heisenberg picture.

*Remark 2.1* (Resolution parameter). The threshold  $\delta_{\text{fix}}$  encodes the operational resolution at which we exclude approximately invariant targets; taking  $\delta_{\text{fix}}$  very small corresponds to allowing slow/metastable components, which can drive  $r_\Delta^\downarrow(r)$  near zero on relevant time windows (cf. Section 4).

## 3 Static layer: quantitative recoverability from collar suppression (Gaussian split regime)

We state the static reconstruction input used by the pipeline. In a regularized Gaussian split regime, collar suppression controls an amplitude of cross-region dependence and yields explicit Petz recoverability.

### 3.1 Gaussian CCR posture and Petz recovery in block form

Let  $\Gamma$  and  $\Gamma_0$  be covariances of centered quasi-free states with block decomposition

$$\Gamma = \begin{pmatrix} A & X \\ X^T & B \end{pmatrix}, \quad \Gamma_0 = \begin{pmatrix} A_0 & X_0 \\ X_0^T & B_0 \end{pmatrix}. \quad (10)$$

Define the vacuum correlation factor

$$\eta_{\text{vac}} := \left\| A_0^{-1/2} X_0 B_0^{-1/2} \right\|_{\text{op}}, \quad (11)$$

and cross-correlation perturbation

$$\delta_X := \left\| A_0^{-1/2} (X - X_0) B_0^{-1/2} \right\|_{\text{op}}. \quad (12)$$

In the Gaussian sector, the Petz-recovered covariance  $\tilde{\Gamma}$  has blocks

$$\tilde{A} = A, \quad \tilde{X} = AA_0^{-1}X_0, \quad \tilde{B} = B_0 + X_0^T A_0^{-1}(A - A_0)A_0^{-1}X_0, \quad (13)$$

and we define the cross-block error

$$\Delta^{(12)} := X - \tilde{X} = X - AA_0^{-1}X_0. \quad (14)$$

**Theorem 3.1** (Gaussian clustering–recovery bridge (static)). *Under standard quasi-free regularity and a perturbative regime (coercivity of  $A$  relative to  $A_0$ , cross-correlation control, and  $\|K\|_{\text{op}} \leq 1/2$  for the normalized covariance perturbation), the Petz-recovered state  $\tilde{\omega}$  satisfies a fidelity bound of the form*

$$1 - F(\omega, \tilde{\omega}) \leq \mathbf{C}(\varepsilon, \eta_{\text{vac}}, \delta) \left\| \Delta^{(12)} \right\|_{\text{HS}}^2, \quad (15)$$

where the prefactor is controlled by  $\varepsilon$  and the correlation denominator  $1 - \varepsilon^{-1}(\eta_{\text{vac}} + \delta)^2$ .

*Remark 3.1* (Static only). Theorem 3.1 is a static reconstruction guarantee. It does not imply any separation-dependent statement about dynamical rates.

## 4 The $\omega = 0$ obstruction and witness diagnostics

We formalize an obstruction to inferring dynamical rate suppression from static collar suppression alone.

### 4.1 An exact $\omega = 0$ identity

Let  $S = S^\dagger$  be a coupling operator and define its Bohr-zero component

$$S(0) := \sum_n \Pi_n S \Pi_n. \quad (16)$$

Assume an  $\omega = 0$  Davies contribution

$$\mathcal{L}_0(O) = \gamma(0) \left( S(0) O S(0) - \frac{1}{2} \{S(0)^2, O\} \right), \quad \gamma(0) > 0. \quad (17)$$

**$\sigma$ -weighted inner product (matches the numerical diagnostics).** We use the  $\sigma$ -weighted inner product

$$\langle A, B \rangle_\sigma := \text{Tr}(A^\dagger \sigma B), \quad \|A\|_{2,\sigma}^2 := \text{Tr}(\sigma A^\dagger A). \quad (18)$$

This convention matches the witness computation in Appendix A; for Pauli strings  $O$  one has  $O^\dagger O = \mathbb{1}$  and hence  $\|O\|_{2,\sigma}^2 = \text{Tr}(\sigma) = 1$ .

**Lemma 4.1** (Exact  $\omega = 0$  Dirichlet identity). *If  $[S(0), \sigma] = 0$ , then for all observables  $O$ ,*

$$\mathcal{E}_\sigma^{(0)}(O) := -\Re \langle O, \mathcal{L}_0(O) \rangle_\sigma = \frac{\gamma(0)}{2} \| [S(0), O] \|_{2,\sigma}^2. \quad (19)$$

## 4.2 Witness families and finite-size diagnostics

Fix a coupling location  $j_0$  and a distance parameter  $\epsilon \geq 1$ . For test families  $\mathcal{A}_\epsilon^{(k)}$  (one-site, two-site), define the optimized witness

$$R_{\text{opt}}^{(k)}(\epsilon; N) := \max_{O \in \mathcal{A}_\epsilon^{(k)} \setminus \{0\}} \frac{\| [S(0), O] \|_{2,\sigma}^2}{\| O \|_{2,\sigma}^2}. \quad (20)$$

By Lemma 4.1, there exists at least one  $O \in \mathcal{A}_\epsilon^{(k)}$  such that

$$\frac{\mathcal{E}_\sigma^{(0)}(O)}{\| O \|_{2,\sigma}^2} \geq \frac{\gamma(0)}{2} R_{\text{opt}}^{(k)}(\epsilon; N). \quad (21)$$

Accordingly, we define the family-certified witness scale

$$\kappa_{\text{wit}}^{(k)}(\epsilon; N) := \frac{\gamma(0)}{2} R_{\text{opt}}^{(k)}(\epsilon; N), \quad (22)$$

which quantifies a *potential* obstruction within the chosen test family (but does not by itself imply a worst-case floor across an arbitrary family of targets).

*Remark 4.1* (Extended check). A one-site extension at  $N = 14$  for  $\epsilon \in \{1, 2\}$  yields values consistent with the decreasing-with- $N$  trend observed in Figure 1.

## 5 Thermodynamic control model and an autocontained proof of the power bound

This section defines the control task and proves the finite-dimensional extra-power lower bound. Two technical points are handled explicitly: (i) battery–system correlations in the one-step work bound, and (ii) the definition of  $P_{\text{extra}}$  via *paired strategies* (so we never subtract unrelated infima).

### 5.1 Battery-assisted thermal operations (finite dimension)

Let  $B$  be a bath with Hamiltonian  $H_B$  prepared in the Gibbs state  $\gamma_B := e^{-\beta H_B} / \text{Tr}(e^{-\beta H_B})$ . Let  $W$  be a battery with Hamiltonian  $H_W$ . We consider global energy-conserving unitaries  $U$  on  $SBW$  satisfying  $[U, H_S + H_B + H_W] = 0$ .

**Definition 5.1** (Thermal operation with battery). A battery-assisted thermal operation is any CPTP map on  $SW$  of the form

$$\Phi_{SW}(\cdot) = \text{Tr}_B \left( U \left( (\cdot) \otimes \gamma_B \right) U^\dagger \right), \quad [U, H_S + H_B + H_W] = 0. \quad (23)$$

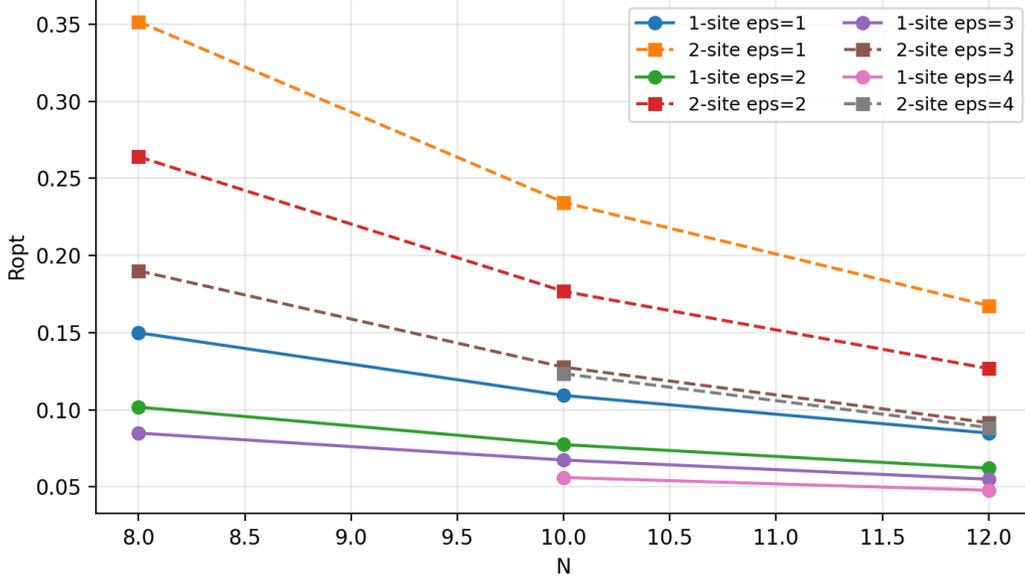


Figure 1: Optimized  $\omega = 0$  commutator witnesses in the TFIM ( $J = 1$ ,  $h = 1.5$ ,  $\beta = 1$ ). Solid: one-site family; dashed: adjacent two-site family. Both decrease with  $N$  at fixed  $\epsilon$  for tested sizes  $N \leq 12$ , indicating no evidence of a size-independent  $\omega = 0$  floor in this benchmark regime.

**Work bookkeeping.** Let  $\gamma_W := e^{-\beta H_W} / \text{Tr}(e^{-\beta H_W})$  and define the battery non-equilibrium free energy

$$F_\beta(\omega_W) := k_B T S(\omega_W \| \gamma_W). \quad (24)$$

For a process  $\omega_W \mapsto \omega'_W$  we define the (battery) work cost as

$$W := F_\beta(\omega'_W) - F_\beta(\omega_W). \quad (25)$$

## 5.2 Maintenance in the instantaneous (differential) sense

Fix an uncontrolled Markov drift  $\rho_t = \mathcal{T}_t(\rho)$  on  $S$ . For a small step size  $\delta t > 0$  we consider protocols of the form

$$\rho \xrightarrow{\mathcal{T}_{\delta t}} \rho_{\delta t} := \mathcal{T}_{\delta t}(\rho) \xrightarrow{\Phi_{\delta t}} \rho', \quad (26)$$

where  $\Phi_{\delta t}$  is a battery-assisted thermal operation acting on  $SW$  (Definition 5.1) and the bath is freshly prepared in  $\gamma_B$  in each step (reset model).

**Definition 5.2** (Instantaneous maintenance). A family of controls  $\{\Phi_{\delta t}\}_{\delta t > 0}$  maintains  $\rho$  instantaneously if the post-control system marginal satisfies

$$\text{Tr}_W[\Phi_{\delta t}(\rho_{\delta t} \otimes \omega_W)] = \rho + o(\delta t) \quad \text{as } \delta t \rightarrow 0, \quad (27)$$

for the chosen initial battery state  $\omega_W$ .

**Definition 5.3** (Maintenance power and paired incremental power). Let  $W_{\delta t}(\rho; \Phi_{\delta t})$  denote the battery work (free-energy increase) incurred by applying a control step  $\Phi_{\delta t}$  to restore  $\rho_{\delta t}$  to  $\rho$  up to  $o(\delta t)$ . Define the minimal maintenance power as

$$P(\rho) := \inf_{\{\Phi_{\delta t}\} \text{ maintains } \rho} \limsup_{\delta t \rightarrow 0} \frac{W_{\delta t}(\rho; \Phi_{\delta t})}{\delta t}. \quad (28)$$

To isolate the incremental cost of maintaining *coherence*, we define extra power via *paired strategies*. A paired strategy is a pair of control families ( $\{\Phi_{\delta t}^\rho\}, \{\Phi_{\delta t}^\Delta\}$ ) that: (i) maintain  $\rho$  and maintain  $\Delta[\rho]$  (Definition 5.2), (ii) use the *same* bath model and the *same* initial battery state  $\omega_W$  at each step. Define the paired-step incremental work by

$$W_{\delta t}^{\text{extra}}(\rho) := W_{\delta t}(\rho; \Phi_{\delta t}^\rho) - W_{\delta t}(\Delta[\rho]; \Phi_{\delta t}^\Delta), \quad (29)$$

and the incremental extra power as

$$P_{\text{extra}}(\rho) := \inf_{\text{paired strategies}} \limsup_{\delta t \rightarrow 0} \frac{W_{\delta t}^{\text{extra}}(\rho)}{\delta t}. \quad (30)$$

*Remark 5.1.* This paired definition avoids the invalid inference that can arise from subtracting two unrelated lower bounds on two unrelated infima. One always has  $P_{\text{extra}}(\rho) \leq P(\rho) - P(\Delta[\rho])$ , but we do not need this inequality.

### 5.3 A free-energy lemma for one-step restoration (with correlations)

Define the non-equilibrium free energy on  $S$  relative to  $\sigma$  by

$$F_\beta^S(\rho) := k_B T S(\rho \| \sigma) + F_\beta^S(\sigma), \quad (31)$$

where  $F_\beta^S(\sigma)$  is a constant offset (irrelevant for differences).

**Lemma 5.1** (One-step work lower bound). *Consider a battery-assisted thermal operation  $\Phi_{SW}$  (Definition 5.1) that maps  $\rho \otimes \omega_W$  to a final  $SW$  state with system marginal  $\rho'$  and battery marginal  $\omega'_W$ . Then*

$$F_\beta(\omega'_W) - F_\beta(\omega_W) \geq F_\beta^S(\rho') - F_\beta^S(\rho). \quad (32)$$

*Proof.* Let  $\Gamma_{SBW} := \sigma \otimes \gamma_B \otimes \gamma_W$  be the global Gibbs state at inverse temperature  $\beta$ . Energy conservation implies  $U\Gamma_{SBW}U^\dagger = \Gamma_{SBW}$ . By monotonicity of relative entropy under the CPTP map  $\Psi(\cdot) := \text{Tr}_B(U(\cdot)U^\dagger)$ ,

$$S(\rho \otimes \omega_W \otimes \gamma_B \| \sigma \otimes \gamma_W \otimes \gamma_B) \geq S(\rho'_{SW} \| \sigma \otimes \gamma_W), \quad (33)$$

where  $\rho'_{SW} := \Phi_{SW}(\rho \otimes \omega_W)$ .

The left-hand side is additive:

$$S(\rho \otimes \omega_W \otimes \gamma_B \| \sigma \otimes \gamma_W \otimes \gamma_B) = S(\rho \| \sigma) + S(\omega_W \| \gamma_W). \quad (34)$$

The right-hand side need not be additive because  $\rho'_{SW}$  can be correlated. Using the chain rule for relative entropy against a product reference,

$$S(\rho'_{SW} \| \sigma \otimes \gamma_W) = S(\rho' \| \sigma) + S(\omega'_W \| \gamma_W) + I(S:W)_{\rho'_{SW}}, \quad (35)$$

where  $I(S:W)_{\rho'_{SW}} \geq 0$  is the mutual information in the final  $SW$  state. Hence

$$S(\rho \| \sigma) + S(\omega_W \| \gamma_W) \geq S(\rho' \| \sigma) + S(\omega'_W \| \gamma_W). \quad (36)$$

Multiplying by  $k_B T$  and rewriting in terms of  $F_\beta(\cdot)$  and  $F_\beta^S(\cdot)$  yields (32).  $\square$

## 5.4 Autocontained proof of the paired extra-power bound

**Assumption 5.1** (Energy covariance of the drift). The uncontrolled semigroup  $\mathcal{T}_t$  commutes with energy pinching:

$$\Delta[\mathcal{T}_t(\rho)] = \mathcal{T}_t(\Delta[\rho]) \quad \text{for all } t \geq 0 \text{ and all } \rho. \quad (37)$$

*Remark 5.2.* Assumption 5.1 holds for Davies/secular generators that are time-translation covariant with respect to  $H_S$  (the standard weak-coupling, rotating-wave setting).

**Lemma 5.2** (Relative-entropy Pythagoras for pinching). *For the Gibbs state  $\sigma$  and the pinching map  $\Delta$  of (3), one has for all states  $\rho$ ,*

$$S(\rho \parallel \sigma) = S(\rho \parallel \Delta[\rho]) + S(\Delta[\rho] \parallel \sigma). \quad (38)$$

*Proof.* Since  $\sigma$  is diagonal in the energy basis,  $\Delta$  is a  $\sigma$ -preserving conditional expectation. The identity (38) is the standard Pythagorean relation for relative entropy with respect to conditional expectations.  $\square$

**Theorem 5.3** (Incremental maintenance power lower bound (finite dimension, paired strategies)). *Assume the instantaneous maintenance model (Definitions 5.2 and 5.3) with battery-assisted thermal operations (Definition 5.1), and assume Assumption 5.1. If paired strategies exist that maintain  $\rho$  and maintain  $\Delta[\rho]$ , then*

$$P_{\text{extra}}(\rho) \geq k_B T \dot{C}_{\Delta, \text{loss}}(\rho), \quad (39)$$

where  $C_{\Delta}(\rho) = S(\rho \parallel \Delta[\rho])$  and  $\dot{C}_{\Delta, \text{loss}}(\rho) = -\frac{d}{dt}\big|_{t=0} C_{\Delta}(\mathcal{T}_t(\rho))$ .

*Proof.* Fix a target  $\rho$  and consider a paired strategy for a one-step duration  $\delta t$ . Let  $\rho_{\delta t} := \mathcal{T}_{\delta t}(\rho)$  and  $\Delta[\rho]_{\delta t} := \mathcal{T}_{\delta t}(\Delta[\rho]) = \Delta[\rho_{\delta t}]$  by Assumption 5.1.

Apply Lemma 5.1 to the  $\rho$ -maintenance step:

$$W_{\delta t}(\rho; \Phi_{\delta t}^{\rho}) \geq F_{\beta}^S(\rho) - F_{\beta}^S(\rho_{\delta t}) + o(\delta t) = k_B T (S(\rho \parallel \sigma) - S(\rho_{\delta t} \parallel \sigma)) + o(\delta t). \quad (40)$$

Apply Lemma 5.1 to the  $\Delta[\rho]$ -maintenance step:

$$W_{\delta t}(\Delta[\rho]; \Phi_{\delta t}^{\Delta[\rho]}) \geq k_B T (S(\Delta[\rho] \parallel \sigma) - S(\Delta[\rho_{\delta t}] \parallel \sigma)) + o(\delta t). \quad (41)$$

Subtracting these two valid inequalities (for the same fixed  $\delta t$  and paired strategy) yields

$$W_{\delta t}^{\text{extra}}(\rho) \geq k_B T \left[ (S(\rho \parallel \sigma) - S(\Delta[\rho] \parallel \sigma)) - (S(\rho_{\delta t} \parallel \sigma) - S(\Delta[\rho_{\delta t}] \parallel \sigma)) \right] + o(\delta t). \quad (42)$$

By Lemma 5.2,

$$S(\rho \parallel \sigma) - S(\Delta[\rho] \parallel \sigma) = S(\rho \parallel \Delta[\rho]) = C_{\Delta}(\rho), \quad S(\rho_{\delta t} \parallel \sigma) - S(\Delta[\rho_{\delta t}] \parallel \sigma) = S(\rho_{\delta t} \parallel \Delta[\rho_{\delta t}]) = C_{\Delta}(\rho_{\delta t}). \quad (43)$$

Therefore

$$W_{\delta t}^{\text{extra}}(\rho) \geq k_B T (C_{\Delta}(\rho) - C_{\Delta}(\rho_{\delta t})) + o(\delta t). \quad (44)$$

Divide by  $\delta t$ , take  $\limsup_{\delta t \rightarrow 0}$ , and then take the infimum over paired strategies as in (30) to obtain

$$P_{\text{extra}}(\rho) \geq k_B T \left( -\frac{d}{dt}\bigg|_{t=0} C_{\Delta}(\mathcal{T}_t(\rho)) \right) = k_B T \dot{C}_{\Delta, \text{loss}}(\rho). \quad (45)$$

$\square$

*Remark 5.3* (Integrated version). Integrating the differential inequality over a time interval yields an extra-work bound  $W_{\text{extra}}([0, T]) \geq k_B T (C_{\Delta}(\rho_0) - C_{\Delta}(\rho_T))$  for protocols that track a target over time, under the same bookkeeping conventions.

## 6 Main results: finite-dimensional core and a Type III blueprint

### 6.1 Finite-dimensional core: rates imply power (proved)

**Corollary 6.1** (Typed family lower bound). *Fix  $r$  and a family  $\mathcal{F}_r$ . For any  $\rho \in \mathcal{F}_r$  with  $C_\Delta(\rho) > 0$ ,*

$$P_{\text{extra}}(\rho) \geq k_B T \dot{C}_{\Delta, \text{loss}}(\rho) = k_B T r_\Delta(\rho) C_\Delta(\rho) \geq k_B T r_\Delta^\downarrow(r) C_\Delta(\rho). \quad (46)$$

### 6.2 The missing hinge: typed rate inheritance (explicit hypothesis)

**Hypothesis 6.2** (Typed rate inheritance on  $\mathcal{F}_r$ ). *On the operational family  $\mathcal{F}_r$ , the lower envelope  $r_\Delta^\downarrow(r)$  is strictly positive in the regime of interest and admits a collar-dependent envelope (for example,  $r_\Delta^\downarrow(r) \gtrsim e^{-\alpha r}$  up to polynomial prefactors). Moreover, fixed-point/ $\omega = 0$  mechanisms do not induce a collar-independent floor on  $\mathcal{F}_r$  in the relevant regime.*

**Corollary 6.3** (Collar-dependent power suppression (conditional)). *Assuming Hypothesis 6.2, if  $C_\Delta(\rho) \geq C_0 > 0$  on  $\mathcal{F}_r$ , then*

$$\inf_{\rho \in \mathcal{F}_r} P_{\text{extra}}(\rho) \gtrsim k_B T r_\Delta^\downarrow(r) C_0. \quad (47)$$

### 6.3 Type III blueprint (conjectural)

**Conjecture 6.4** (Typed pipeline in AQFT (blueprint)). *Let  $\mathcal{M}$  be a Type III local algebra in AQFT with vacuum  $\omega_0$  and a split inclusion  $O_1 \subseteq O_2$  of collar width  $r$ . Let  $E_{\text{split}}$  be the vacuum-preserving conditional expectation onto the split factor. Define the static residue by Araki relative entropy*

$$C_{E_{\text{split}}}(\omega) := S_{\text{Araki}}(\omega \| \omega \circ E_{\text{split}}). \quad (48)$$

*Assume a physically specified dissipative dynamics and a well-posed maintenance-power notion under a regularized thermodynamic bookkeeping model. Then, on a typed fast-sector family and under a Type III analogue of Hypothesis 6.2 (with explicit control of fixed-point/ $\omega = 0$  obstructions), one obtains a collar-dependent bound of the schematic form*

$$P_{\text{extra}}(\omega) \gtrsim k_B T r_\Delta^\downarrow(r) C_{E_{\text{split}}}(\omega), \quad \omega \in \mathcal{F}_r. \quad (49)$$

## 7 Conclusion

We have presented a typed pipeline connecting geometric isolation to thermodynamic maintenance cost. The central lesson is that while geometry buys recoverability (Theorem 3.1), it does not buy efficiency freely: maintenance is governed by rate envelopes such as  $r_\Delta^\downarrow(r)$ , which are vulnerable to fixed-point and  $\omega = 0$  obstructions that static clustering alone cannot rule out. By making the missing dynamical hinge explicit (Hypothesis 6.2) and providing commutator-witness diagnostics for the  $\omega = 0$  mechanism, we delineate the conditions under which the intuition “isolation implies stability” becomes thermodynamically valid.

## Reproducibility

Figure 1 is reproduced by running the Python script embedded in Appendix A. Copy the listing into a file named `reproduce_witness_figure.py` and execute it from the project root. The script performs exact diagonalization for  $N \in \{8, 10, 12\}$  and generates the file `witness_sweep_N1e12_plot.png` in the working directory.

## A Reproducibility script (Python)

### Usage (local):

- Install: `pip install numpy scipy matplotlib`
- Run: `python reproduce_witness_figure.py`
- Output: `witness_sweep_Nle12_plot.png`

Listing 1: `reproduce_witness_figure.py` (generates Figure 1).

```
1  #!/usr/bin/env python3
2  import time, gc
3  import numpy as np
4  import scipy.linalg as la
5  import matplotlib.pyplot as plt
6
7  np.set_printoptions(precision=6, suppress=True)
8
9  # ----- Parameters for Figure 1 -----
10 J = 1.0
11 h = 1.5
12 beta = 1.0
13 degen_tol = 1e-10
14
15 Ns = [8, 10, 12]
16 eps_targets = [1, 2, 3, 4]
17 OUT_PNG = "witness_sweep_Nle12_plot.png"
18
19 # ----- Helpers -----
20 def paulis():
21     I = np.array([[1,0],[0,1]], dtype=complex)
22     X = np.array([[0,1],[1,0]], dtype=complex)
23     Y = np.array([[0,-1j],[1j,0]], dtype=complex)
24     Z = np.array([[1,0],[0,-1]], dtype=complex)
25     return I, X, Y, Z
26
27 def kron_all(ops):
28     out = ops[0]
29     for op in ops[1:]:
30         out = np.kron(out, op)
31     return out
32
33 def local_pauli(N, site, which):
34     I, X, Y, Z = paulis()
35     P = {"X":X,"Y":Y,"Z":Z}[which.upper()]
36     ops = [I]*N
37     ops[site] = P
38     return kron_all(ops)
39
40 def two_site_xx(N, i):
41     I, X, Y, Z = paulis()
42     ops = [I]*N
43     ops[i] = X
```

```

44     ops[i+1] = X
45     return kron_all(ops)
46
47 def tfim_hamiltonian(N, J, h):
48     dim = 2**N
49     H = np.zeros((dim, dim), dtype=complex)
50     for i in range(N-1):
51         H += -J * two_site_xx(N, i)
52     for i in range(N):
53         H += -h * local_pauli(N, i, "Z")
54     return (H + H.conj().T)/2
55
56 def thermal_probs(E, beta):
57     Emin = float(np.min(E.real))
58     w = np.exp(-beta*(E.real - Emin))
59     return w/np.sum(w)
60
61 def thermal_state_from_eigh(E, V, beta):
62     p = thermal_probs(E, beta)
63     rho = (V * p) @ V.conj().T
64     return (rho + rho.conj().T)/2
65
66 def energy_blocks(E, tol=1e-10):
67     E = np.array(E.real, dtype=float)
68     order = np.argsort(E)
69     blocks, cur = [], [order[0]]
70     for idx in order[1:]:
71         if abs(E[idx] - E[cur[0]]) <= tol:
72             cur.append(idx)
73         else:
74             blocks.append(cur); cur=[idx]
75     blocks.append(cur)
76     return blocks
77
78 def S0_component(S, E, V, tol=1e-10):
79     S_E = V.conj().T @ S @ V
80     S0_E = np.zeros_like(S_E)
81     for blk in energy_blocks(E, tol=tol):
82         ii = np.ix_(blk, blk)
83         S0_E[ii] = S_E[ii]
84     S0 = V @ S0_E @ V.conj().T
85     return (S0 + S0.conj().T)/2
86
87 def R_ratio(O, S0, sigma):
88     # sigma-weighted 2-norm: ||O||_{2,sigma}^2 = Tr(sigma O^\dagger O)
89     # for Pauli strings O: O^\dagger O = I, hence denominator = Tr(
90         sigma)=1.
91     comm = S0@O - O@S0
92     return float(np.trace(comm.conj().T @ (sigma @ comm)).real)
93
94 def Ropt_1site(N, S0, sigma, j0, eps):
95     best = 0.0
96     for side in (+1, -1):
97         k = j0 + side*eps

```

```

97         if k < 0 or k >= N:
98             continue
99         for p in ("X","Y","Z"):
100             O = local_pauli(N, k, p)
101             best = max(best, R_ratio(O, S0, sigma))
102     return best
103
104 def Ropt_2site_adj(N, S0, sigma, j0, eps):
105     I, X, Y, Z = paulis()
106     P = {"X":X, "Y":Y, "Z":Z}
107     best = 0.0
108     for side in (+1, -1):
109         k0 = j0 + side*eps
110         if k0 < 0 or k0+1 >= N:
111             continue
112         for a in ("X","Y","Z"):
113             for b in ("X","Y","Z"):
114                 ops = [I]*N
115                 ops[k0] = P[a]
116                 ops[k0+1] = P[b]
117                 O = kron_all(ops)
118                 best = max(best, R_ratio(O, S0, sigma))
119     return best
120
121 def main():
122     R1 = {}
123     R2 = {}
124
125     for N in Ns:
126         tN = time.time()
127         print(f"--- N={N} dim={2**N} ---")
128         H = tfim_hamiltonian(N, J, h)
129
130         t_eigh = time.time()
131         E, V = la.eigh(H, check_finite=False)
132         print(f"    eigh: {time.time()-t_eigh:.2f}s")
133
134         j0 = N//2
135         S = local_pauli(N, j0, "Z")
136         S0 = S0_component(S, E, V, tol=degen_tol)
137         sigma = thermal_state_from_eigh(E, V, beta)
138
139         for eps in eps_targets:
140             if eps <= min(j0, N-1-j0):
141                 R1[(N,eps)] = Ropt_1site(N, S0, sigma, j0, eps)
142                 R2[(N,eps)] = Ropt_2site_adj(N, S0, sigma, j0, eps)
143             else:
144                 R1[(N,eps)] = np.nan
145                 R2[(N,eps)] = np.nan
146
147         del H,E,V,S,S0,sigma
148         gc.collect()
149         print(f"    total for N: {time.time()-tN:.2f}s")
150

```

```

151 plt.figure(figsize=(7.2,4.2))
152 for eps in eps_targets:
153     y1 = [R1.get((N,eps), np.nan) for N in Ns]
154     y2 = [R2.get((N,eps), np.nan) for N in Ns]
155     plt.plot(Ns, y1, marker="o", label=f"1-site eps={eps}")
156     plt.plot(Ns, y2, marker="s", linestyle="--", label=f"2-site eps
        ={eps}")
157 plt.grid(True, alpha=0.3)
158 plt.xlabel("N")
159 plt.ylabel("Ropt")
160 plt.legend(ncol=2, fontsize=9)
161 plt.tight_layout()
162 plt.savefig(OUT_PNG, dpi=200)
163 plt.close()
164 print("Saved:", OUT_PNG)
165
166 if __name__ == "__main__":
167     main()

```

## References

## References

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